

## Facility and Company level Controls

For each Declaration reporting Theme, Operators report Controls and evaluations of Controls for facilities that have:

- Facility-level Controls ONLY,
- Company-level Controls ONLY, or
- BOTH Facility- and Company-level Controls.

The level of a Control depends on the level of detail or granularity of the data and/or business process that is being reviewed as the Control is performed.

### Facility-level Controls

A more direct level of assurance over compliance is provided through the use of Facility-level Controls as the data being evaluated is facility specific and tends to be more granular in nature.

Facility-level Controls:

- involve the review of data specific to either a single facility or a group of facilities that share common characteristics;
- are designed to address risks and conditions that are specific to a single facility or group of facilities that share common characteristics; and
- relate to the use of on-site measurement and reporting equipment, on-site business processes, and direct supervision of facility measurement and reporting tasks.

An example of a Facility-level control is a facility supervisor conducting a detailed review of a *Meter Calibration Report* to ensure completeness and accuracy. A component of the control would be the documentation and follow up on any issues there were noted during the review.

### Company-level Controls

A lower level of assurance over compliance is provided through the use of Company-level Controls as the data subject to review is at a high, summary level.

Company-level Controls:

- are corporate or company-wide in nature;
- are typically applicable to all facilities;
- involve the compilation and review of data that is less granular in nature or at a summary level; and
- tend to be comprised of policies and standard operating procedures.

An example of a Company-level control is the existence of a *Meter Calibration Schedule* for all facilities within a company. A component of that control would be the upkeep and distribution of the schedule.