

Directive 067 – Schedule 1 Licence Eligibility (Well, Facility, or Pipeline)



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Month Day Year

| | | |
|--------------------------------------|------------|--------------|
| A. Individual Completing Form | | |
| Full legal name: _____ | | |
| Title/Position: _____ | | |
| Relationship to applicant: _____ | | |
| Telephone: _____ | Fax: _____ | Email: _____ |

| | |
|--|-------------------------------------|
| B. Applicant, Licensee, or Approval Holder Information | |
| Full legal name: _____ | BA code: _____ |
| Address: _____ | |
| _____ | |
| _____ | |
| General telephone: _____ | |
| Email address designated to receive AER regulatory communications: _____ | |
| 24-hr emergency response no.: _____ | Alberta corporate access no.: _____ |

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| C. Reason for Submitting Schedule | |
| <input type="checkbox"/> Application for general licence eligibility to hold AER licences or approvals | |
| <input type="checkbox"/> Application for amendment of licence eligibility | |
| <input type="checkbox"/> Corporate profile update (complete sections E and F) | |

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| D. Licence Eligibility | |
| Check the appropriate boxes to indicate the required items you have completed and attached. | |
| <input type="checkbox"/> Well, Facility, and/or Pipeline Licence | |
| <input type="checkbox"/> Corporate information (section E) | |
| <input type="checkbox"/> Appointment of agent (if applicant is nonresident) | |
| <input type="checkbox"/> Proof of insurance | |
| <input type="checkbox"/> First-time licensee fee | |
| <input type="checkbox"/> Statutory declaration | |

E. Corporate Information (only required if applicant is a corporation)

Please provide details regarding the corporate structure of the applicant, licensee, or approval holder and provide the full legal names of any associated or affiliated entities, including parent and subsidiary corporations and any entity with common directors, officers, partners, or shareholders directly or indirectly holding 20% or more of the outstanding voting securities of the applicant, licensee, or approval holder.

Are there any current regulatory proceedings or outstanding noncompliances (including financial) associated with the applicant or any related entities in Alberta and other jurisdictions?

Yes No

If yes, provide the details.

List the full legal names of all shareholders (including corporate shareholders) who directly or indirectly hold more than 20% of the outstanding voting securities of the corporation (Attach a separate sheet if more space is needed.)

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Directors of the corporation (names, including initials, must match exactly with the names in the Alberta Corporate Registry Computer System [CORES]; also include any professional designations)

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Senior officers (including professional designations)

Chief Executive Officer: _____

Chief Operating Officer: _____

Chief Financial Officer: _____

President: _____

Other (provide below)

_____ : _____

Have any of the above directors and officers been a director or officer of an energy company in any jurisdiction?

Yes No

If yes, provide the details below. Attach additional sheets if necessary.

| Name | Position held | Company | Jurisdiction | Period in which position was held |
|-------------|----------------------|----------------|---------------------|--|
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Have any of the above directors and officers been a director or officer of an energy company that has been subject to insolvency proceedings (i.e., bankruptcy, receivership, notice of intention to make a proposal, proceedings under the CCAA) either while they were a director or officer or during the 12-month period preceding such proceedings?

Yes No

If yes, provide the details below. Attach additional sheets if necessary.

| Name | Position held | Company | Date of commencement of insolvency proceeding |
|-------------|----------------------|----------------|--|
| | | | |
| | | | |
| | | | |

For each of the directors and officers listed above, attach a legible copy of one current piece of government-issued identification that contains a photograph taken within the last 10 years and an accompanying affidavit or attestation of instrument and declaration (see last page of this schedule). This personal information is being collected for the following purposes:

- to verify the accuracy of the information that has been provided (e.g., to reveal and deter fraud or misrepresentation);
- to consider the applicant's eligibility to receive a licence;
- to conduct compliance and enforcement proceedings; and
- to perform other investigations as required by and to ensure compliance with applicable requirements.

The Alberta Energy Regulator will make security arrangements to protect the personal information collected by it from risks such as unauthorized access, use, disclosure or destruction. If you have any questions regarding the collection, use, or disclosure of the personal information that is the subject of this document please contact AER Liability Management, Suite 1000, 250 - 5th Street SW, Calgary, Alberta T2P 0R4; telephone: (403) 297-8311; toll free: 1-855-297-8311; email: Directive067@aer.ca.

F. Declaration of Director or Officer (to be completed by each director and officer listed in section E)

I, _____, of _____
(signatory's name) (city/location)
 in the Province of _____, as _____,
(province) (job title)

declare that

- 1) the information here and in all supporting documentation is complete and accurate to the best of the signatory's knowledge, having made reasonable inquiries about the matters herein;
- 2) I am aware of and have the authority and responsibility to bind the corporation and ensure compliance with the requirements imposed by the statutes, regulations, and rules of the Province of Alberta and the directives and policies of the Alberta Energy Regulator that are pertinent to AER licence and approval holders;
- 3) I consent to the release and collection of compliance information regarding the corporation from other jurisdictions and regulators as applicable; and
- 4) the corporation agrees to attorn to the jurisdiction of the Alberta Energy Regulator and the province of Alberta.

Name (printed): _____

Position: _____

Signature: _____

Submit the completed form and all necessary attachments to Directive067@aer.ca.

G. AER Use Only

The Alberta Energy Regulator hereby disposes the identification code licensee eligibility type application as submitted subject to the attached conditions (if any).

Approved by: _____ BA code: _____

Title: _____ Licence eligibility type: _____

Date: _____

Attestation of Instrument and Declaration

I, _____, hereby certify that I met with _____ on _____ and verified this person's identity by examining the original of this person's identity document, of which a photocopy is contained on this page. The photograph in the identity document is a true likeness of the said person and to the best of my knowledge and belief, the identity document that I examined is valid and unexpired.

Attested to by me at _____, on _____.

Signature of Attestor

Printed Name of Attestor

Title or Profession of Attestor

Address of Attestor

Telephone Number of Attestor