

Frequently Asked Questions

Directive 056—Compliance Assurance

July 2016

These frequently asked questions are in regard to voluntary self-disclosures of licensing issues under *Directive 056: Energy Development Applications and Schedules*, which are submitted to the Infrastructure Authorizations (Oil & Gas) Group.

For further clarification on the voluntary self-disclosure process, please refer to [Frequently Asked Questions – Voluntary Self-Disclosure Process](#). That document addresses questions about voluntary self-disclosures submitted to the Enforcement & Surveillance Group and/or to the Infrastructure Authorizations (Oil & Gas) Group.

Q1. Why have all references to the voluntary self-disclosure process been removed from *Directive 056*?

A1. The references to the voluntary self-disclosure process have been removed in order to align the directive with the recent release of the AER’s *Integrated Compliance Assurance Framework* and section 3.2 of *Manual 13: Compliance and Enforcement Program*. Included in the changes is the removal of the “self-disclosure” check box on the *Directive 056* application forms.

Q2. When will this change to *Directive 056* take effect?

A2. The AER will not accept voluntary self-disclosure applications as of June 8, 2016. Applications that include a voluntary self-disclosure will be closed.

Q3. If I cannot voluntarily self-disclose a noncompliance as part of a *Directive 056* application, what should I do?

A3. For a voluntary self-disclosure pertaining to a *Directive 056* licensing issue, complete the [Voluntary Self-Disclosure Form](#) found on the AER webpage under Data & Publications > AER Forms > Miscellaneous Forms. E-mail the completed form to the e-mail address Authorizations.VSD@aer.ca provided in the form. If achieving compliance requires changing the *Directive 056* licence, submit a licence amendment application using the Electronic Application Submission (EAS) system.

Q4. I have an unlicensed well, facility, or pipeline and need to acquire a licence in order to achieve compliance. How do I do this?

A4. Self-disclosures for unlicensed *Directive 056* wells, facilities, and pipelines must be submitted to Authorizations.VSD@aer.ca using the [Voluntary Self-Disclosure Form](#). To achieve compliance

and obtain the necessary operating approval, submit an application for a licence using the Electronic Application Submission (EAS) system.

Q5. Why have all the references to record corrections been removed from *Directive 056*?

A5. Applications for record corrections using the *Directive 056* application forms and selecting the self-disclosure check box were permitted to correct a data entry error that occurred on the original submission. The references to record corrections in the directive have been removed as a result of the removal of the self-disclosure check box on the *Directive 056* forms.

A record correction is a change to an existing licence. If you need to correct a data entry error, submit a licence amendment application.